

# THEORY AND MODELING OF TRANSITION STRUCTURES OF ORGANIC REACTIONS



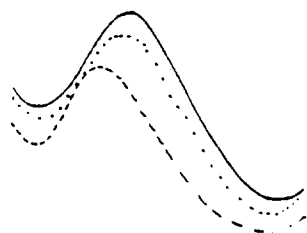
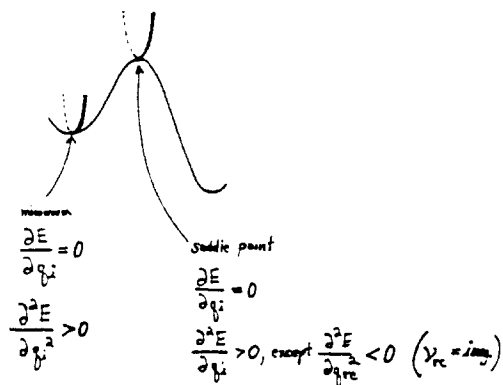
Apply modern techniques of *ab initio* quantum theory to characterize geometries and electronic structures of transition structures of organic reactions.

To understand rates and stereoselectivities.

To allow quantitative predictions.

To develop parameters for empirical calculations of (1) large molecular systems and (2) reactions in solution.

To design systems which bind selectively to the transition states of reactions, that is, to design catalysts.



## Pericyclic Reaction Transition Structures

Characterization of hydrocarbon transition structures -  
 shapes  
 energies  
 charge distributions  
 substituent effects on energies, geometries

Hetero-Diels-Alder reactions

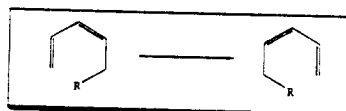
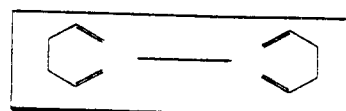
Intramolecular Diels-Alder reactions

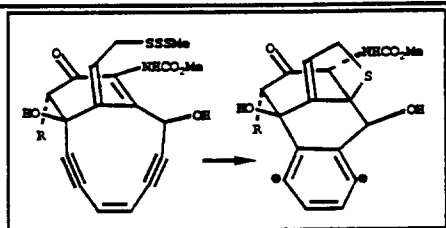
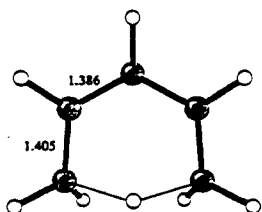
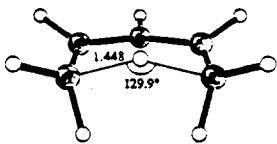
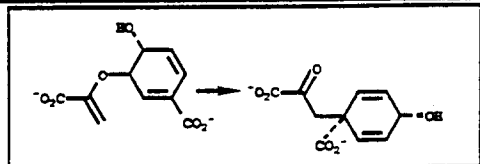
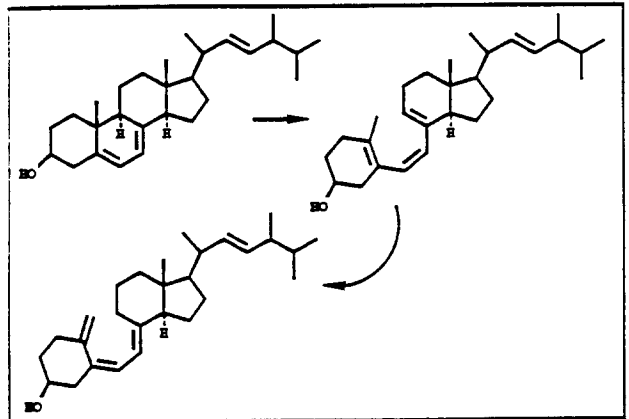
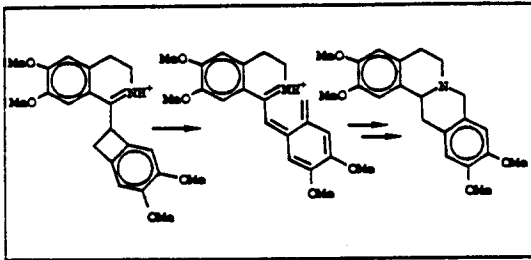
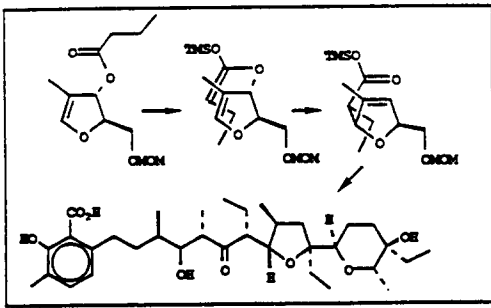
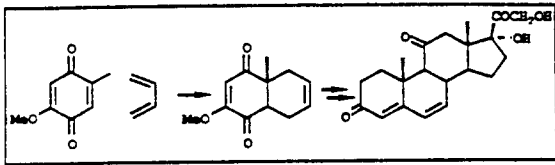
Radical and Photochemical Reactions

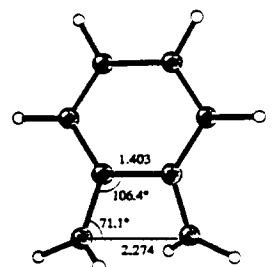
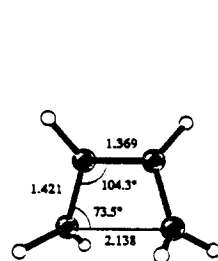
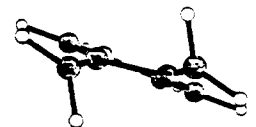
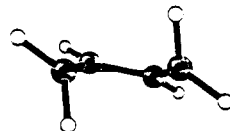
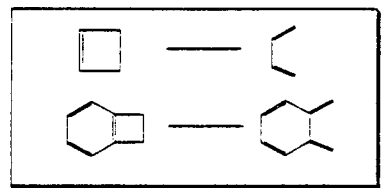
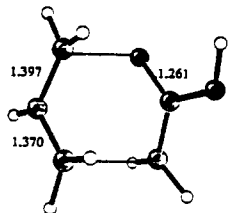
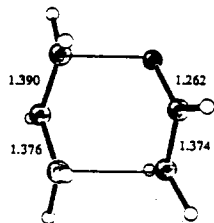
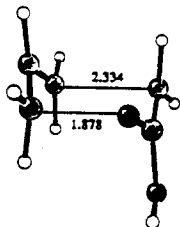
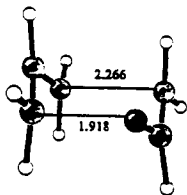
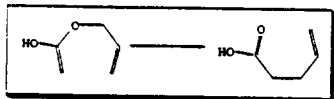
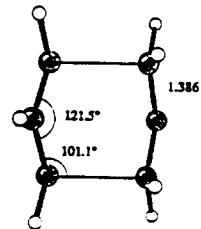
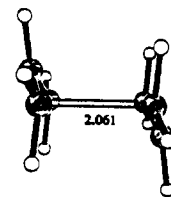
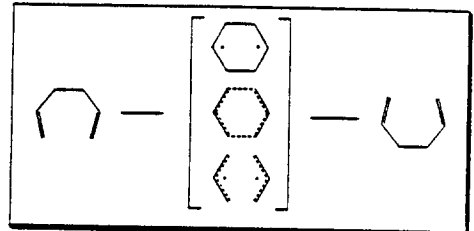
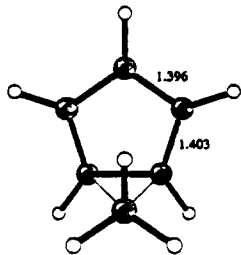
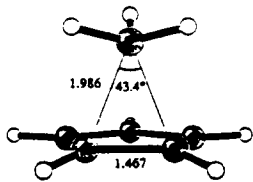
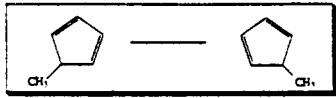
Alkyl radical cyclization reactions

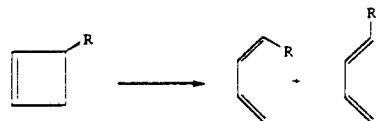
$\alpha$ -Acylalkyl radical cyclization reactions

Enone photocycloadditions



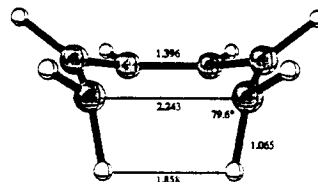




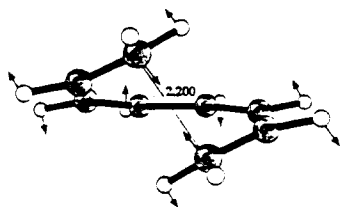


R	$E_{in} - E_{out}$
-NH <sub>2</sub>	19.5 (17.5)
-OH	18.0 (17.2)
-F	17.3 (16.9)
-CH <sub>3</sub>	6.6 (6.4)
-NH <sub>3</sub> <sup>+</sup>	7.8
-CCH	7.2
-CO <sub>2</sub> <sup>-</sup>	5.5
-H	0.0 (0.0)
-CN	4.6 (4.3)
-CO <sub>2</sub> H	1.2 (2.3)
-NO <sub>2</sub>	6.8 (7.4)
-CHO	-4.6 (-4.7)
-CO <sub>2</sub> H <sub>2</sub> <sup>+</sup>	-6.3
-NO	-2.4 (-2.6)
-BH <sub>2</sub>	-18.6 (-18.2)

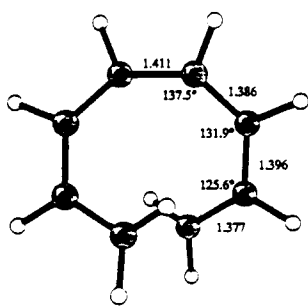
3-216 (6-316\*\*/3-216)  
David C. Spetmeyer, E. Adam Kellei, and Andrzej Buda



$C_{opp} C_{opp} C_{is} H_{ax} = 57.9^\circ$   
 $C_{opp} C_{opp} C_{is} H_{ax} = -159.9^\circ$   
 $C_{opp} C_{opp} C_{opp} C_{is} = 33.2^\circ$   
 $C_{opp} C_{opp} C_{opp} C_{opp} = 0.0^\circ$   
 $H C_{opp} C_{opp} C_{opp} = -167.4^\circ$



Note no front  
all in conical  
C skeleton



11.5 kcal/mol

$\Delta H^\ddagger = 15.1$  kcal/mol Huisgen, et al. *Tetrahedron Lett.* 1969, 19, 1461.

Figure 5: RHF/6-31G\* conrotatory transition structure. (C<sub>2</sub>)

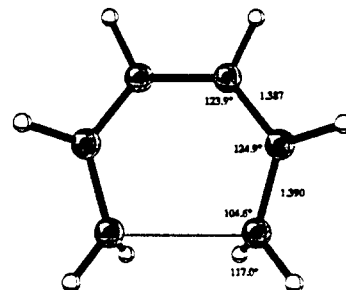
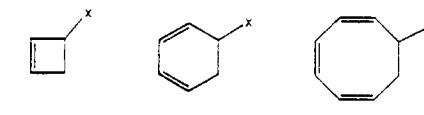
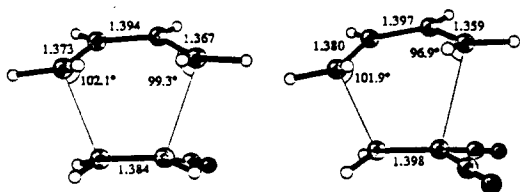
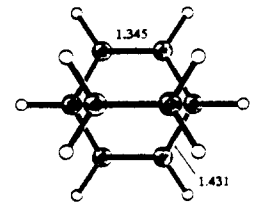
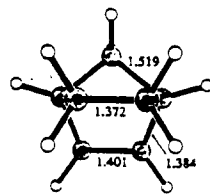
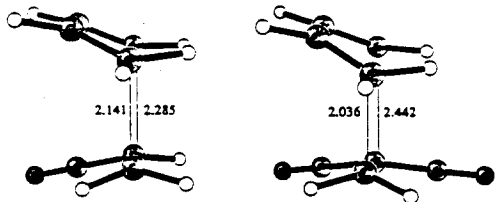
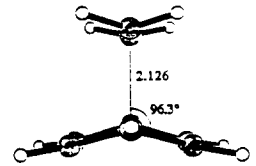
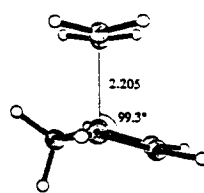
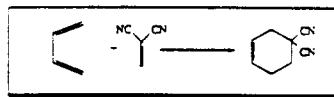
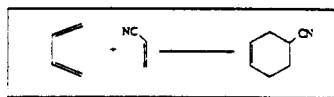
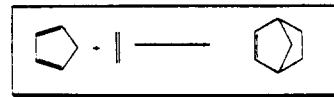
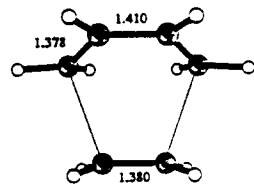
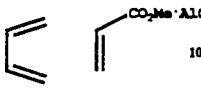
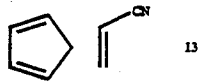
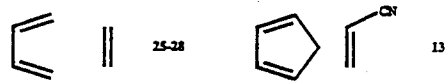
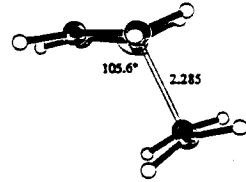
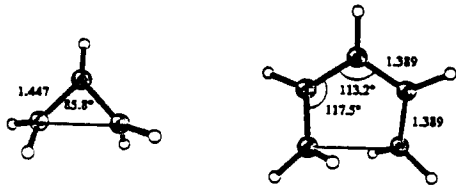
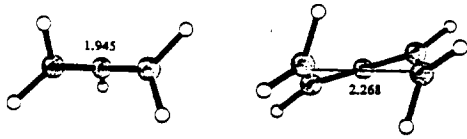
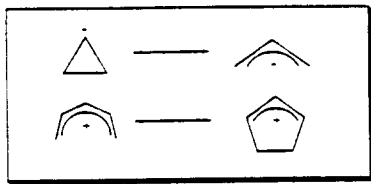


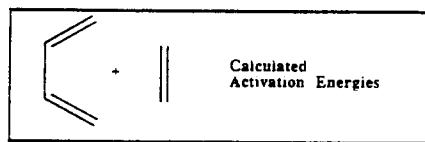
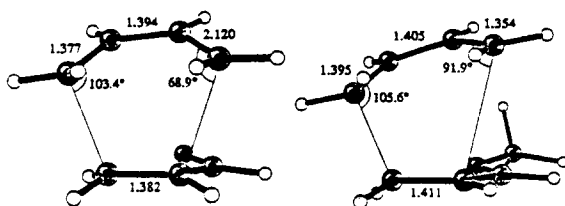
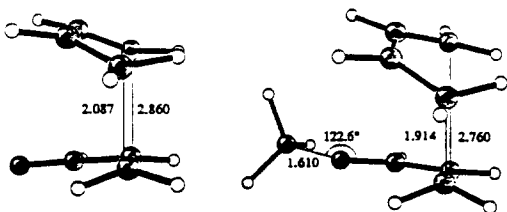
Figure 4: The 6-31G(d) disrotatory transition structure of cis-1,3,5-hexatriene to cyclohexadiene.

$E_1(in) - E_1(out)$  MP2/6-31G\*\*/6-31G\*



F	15.9	4.7	0.3
CH <sub>3</sub>	5.1	3.9	-0.1
CHO	-6.1	0.0	0.0

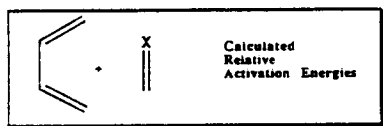




Theory Level	E <sub>a</sub>
experimental	24-27
ST0-3G	36.0
3-21G	35.9
6-31G**/3-21G	45.1
6-31G*	45.0
MP2/6-31G*	17.6
CAS(4/4)SCF/4-31G	33.3

*Beck*  
*Orlando*  
*Rohls*  
*idolph*

*Leub*  
*hitar*

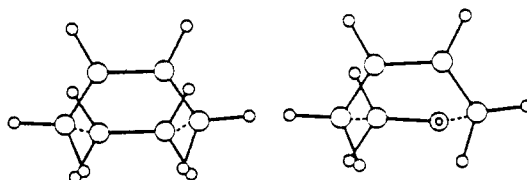
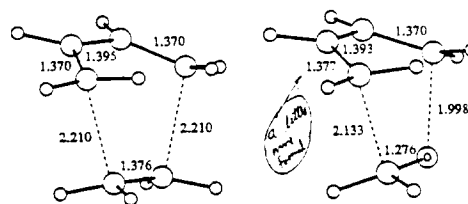


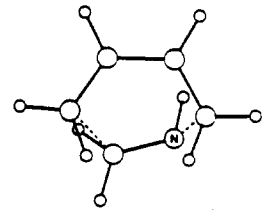
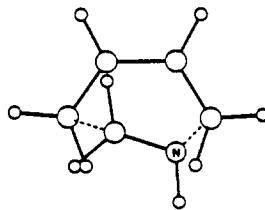
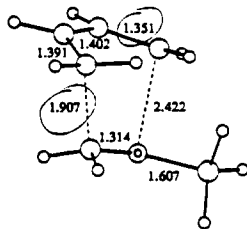
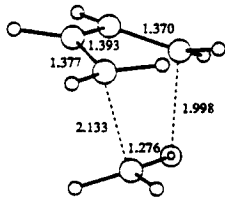
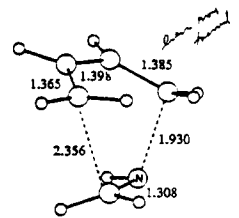
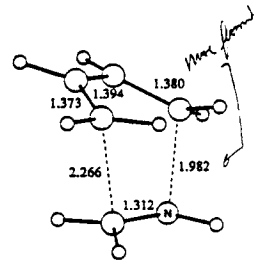
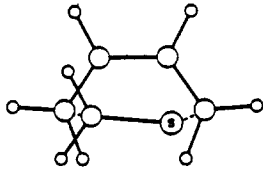
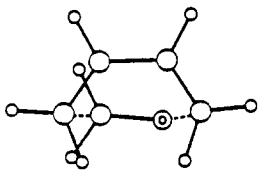
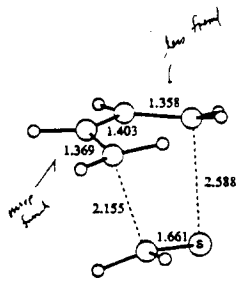
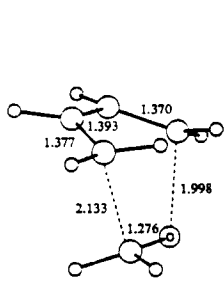
Dienophile	E <sub>a</sub> (rel)	
	6-31G**/3-21G	MP2
	0	0
	-4	+3
	-17	-14
	endo-H +1	-2
	exo-H +5	+3
	exo-B -14	-9
	cyclic -37	
	acyclic -40	

*Diene group length*

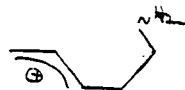
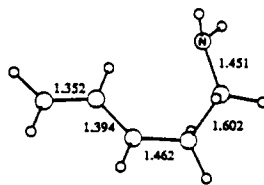
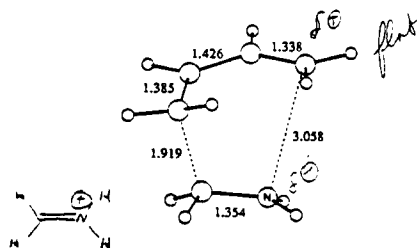
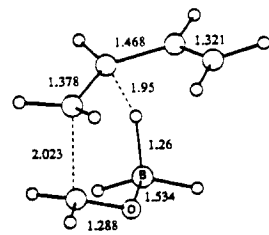
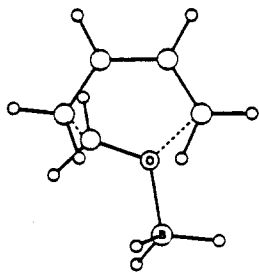
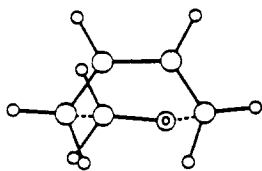
*18 to 12*

*40*

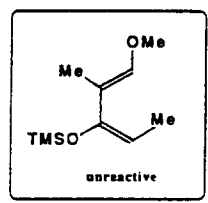
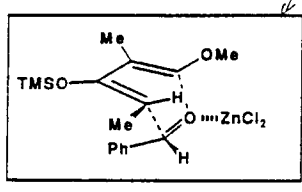
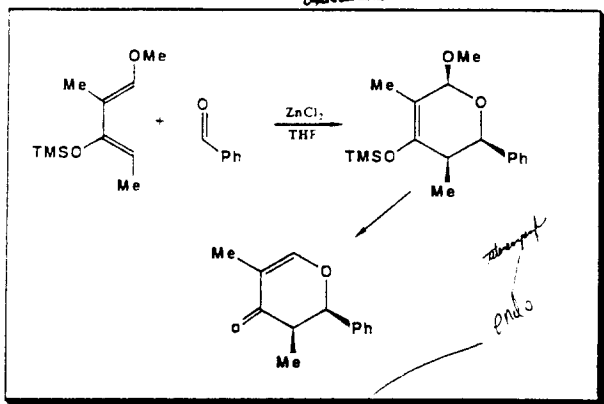




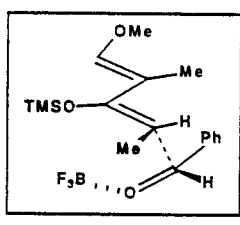
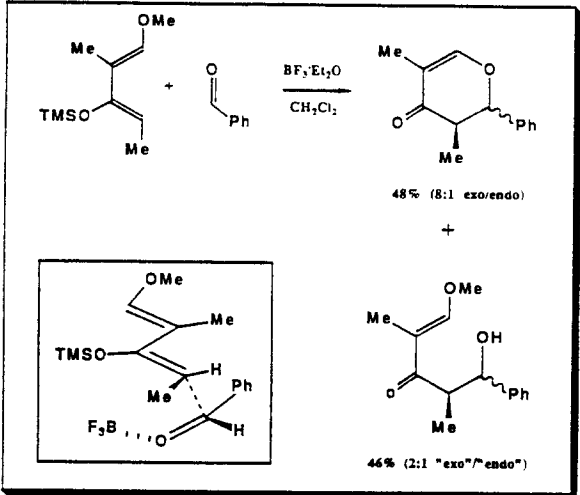
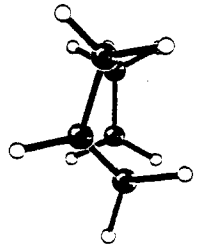
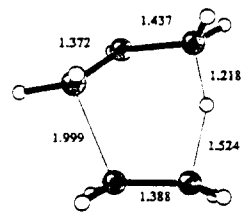
low front  
and  
N-Ti  
separation



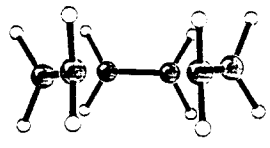
(Intramolecular  
or  
cycloaddition)



Danishefsky and Larson, 1982

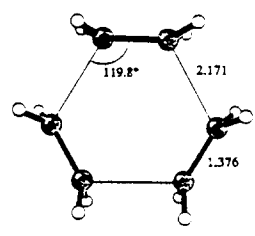


Danishefsky and Larson, 1982

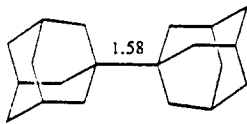
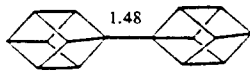


BOND LENGTHS IN TRANSITION STRUCTURES  
OF PERICYCLIC REACTIONS

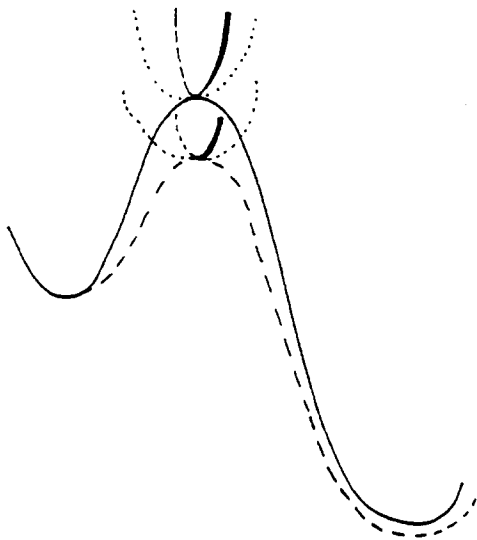
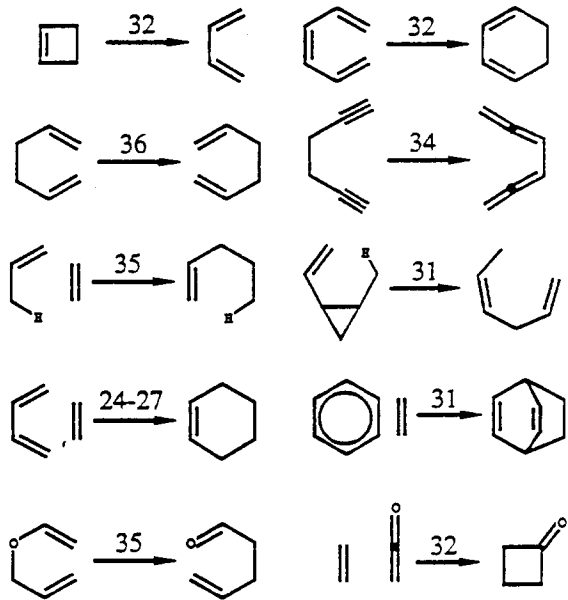
C-----C	2.19 - 2.26Å
C-----H	1.3-1.5Å
C=====C	1.36-1.42Å
C====C	1.25-1.29Å
C-----H	2.8-2.9Å



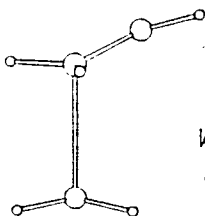
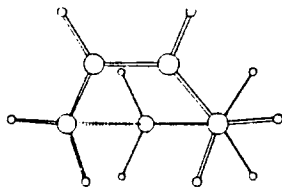
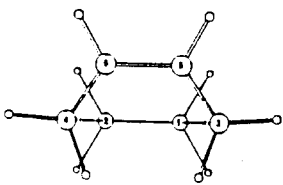




—————	1.48
—————	1.54
—————	1.58
—————	1.32
—————	1.20
—————	2.19
—————	2.26



$$\begin{aligned}
 E_{\text{total}} = & \sum_{\text{bonds}} \frac{1}{2} k_r (r - r_0)^2 (1 + CS (r - r_0)) + \sum_{\text{angles}} \frac{1}{2} k_\theta (\theta - \theta_0)^2 (1 + SF (\theta - \theta_0)^4) \\
 & + \sum_{\text{stretch bond}} \frac{1}{2} k_{\text{sb}} [(r_1 - r_0(1)) + (r_2 - r_0(2))] (\theta - \theta_0) \\
 & + \sum_{\text{diatoms}} \frac{V_1}{2} (1 + \cos \omega) + \frac{V_2}{2} (1 - \cos 2\omega) + \frac{V_3}{2} (1 + \cos 3\omega) \\
 & + \sum_{\text{VDW}} (\epsilon_i \epsilon_j)^{1/2} \left[ 290 \times 10^5 e^{-12.50/r_{ij}} - 2.25 \left(\frac{r_{ij}}{r}\right)^6 \right] + \sum_{\text{VDW}} (\epsilon_i \epsilon_j)^{1/2} 336.176 \left[\frac{r_{ij}}{r}\right] \\
 & + \sum_{\text{dipoles}} \left[ \frac{\mu_i \mu_j}{D r_{ij}^3} \right] (\cos \chi - 3 \cos \alpha_i \cos \alpha_j)
 \end{aligned}$$

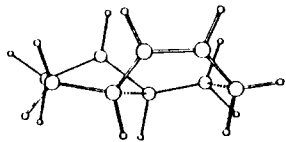


$C_1 - C_3$   
 $C_2 - C_4$   
 $C_4 - C_8, C_5 - C_9$   
 $H(C) - C_8, C_9 - H(C)$   
 $H(C) - C_8 - C_4 - C_2$   
 $H(C) - C_9 - C_3 - C_1$   
 $C_4 - C_2 - C_1 - H(C)$   
*Handwritten notes:*  
 lower plane  
 lower plane  
 (L-shaped 90°)

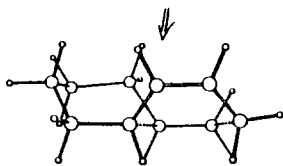
2.21 Å;  $k = 1.0 \text{ md/Å}$   
 2.21 Å;  $k = 1.0 \text{ md/Å}$

Handwritten box containing the number 1.

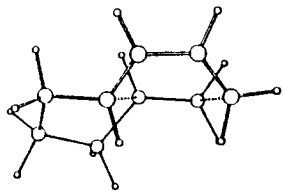
$V_1 = V_3 = 0.0; V_2 = 15.0 \text{ kcal/mol}$   
 $V_1 = V_3 = 0.0; V_2 = 15.0 \text{ kcal/mol}$   
 $V_1 = V_3 = 0.0; V_2 = -7.5 \text{ kcal/mol}$   
 $V_1 = V_3 = 0.0; V_2 = -7.5 \text{ kcal/mol}$   
 $V_1 = V_3 = 0.0; V_2 = -10.0 \text{ kcal/mol}$



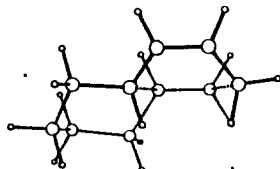
found C=C (ends)



diff in product from next yr



found (ends)



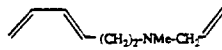
found in product

**NONATRIENE**  
t/c = 26:74 (30:70)

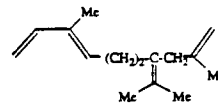
**DECATRIENE**  
t/c = 55:45 (48:52)

Carbon-14

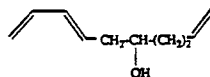
613 K



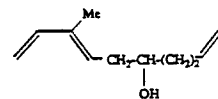
t/c = 55:45 (48:52)



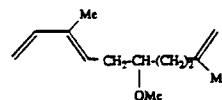
t/c = 92:8 (>95:5)



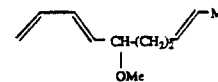
t/c = 60:40 (55:45)



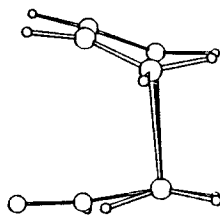
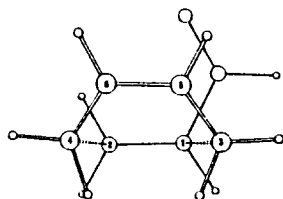
t/c = 89:11 (>95:5)



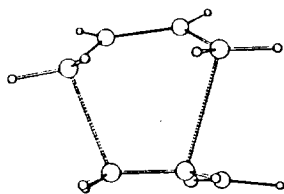
t/c = 90:10 (>95:5; OTHP, OTBDMS)



t/c = 26:74 (33:67; OTMS)



(413 - 518 K)



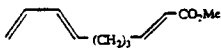
found (ends)

C<sub>1</sub>-C<sub>3</sub> 2.35 Å; k = 0.5 md/Å  
C<sub>2</sub>-C<sub>4</sub> 2.07 Å; k = 1.5 md/Å  
C.S. term -1.0  
t<sub>stretching-bending</sub> 0.0 md/rad

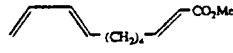
of 1.0

C<sub>4</sub>-C<sub>6</sub>-C<sub>5</sub>-C<sub>3</sub> V<sub>1</sub> = V<sub>3</sub> = 0.0; V<sub>2</sub> = 15.0 kcal/mol  
H(C)-C<sub>6</sub>-C<sub>5</sub>-H(C) V<sub>1</sub> = V<sub>3</sub> = 0.0; V<sub>2</sub> = 15.0 kcal/mol  
H(C)-C<sub>6</sub>-C<sub>4</sub>-C<sub>2</sub> V<sub>1</sub> = V<sub>3</sub> = 0.0; V<sub>2</sub> = -7.5 kcal/mol  
H(C)-C<sub>5</sub>-C<sub>3</sub>-C<sub>1</sub> V<sub>1</sub> = V<sub>3</sub> = 0.0; V<sub>2</sub> = -7.5 kcal/mol  
C<sub>4</sub>-C<sub>2</sub>-C<sub>1</sub>-H(C) V<sub>1</sub> = V<sub>3</sub> = 0.0; V<sub>2</sub> = -10.0 kcal/mol  
C<sub>2</sub>-C<sub>1</sub>-C=O V<sub>1</sub> = V<sub>3</sub> = 0.0; V<sub>2</sub> = 2.0 kcal/mol

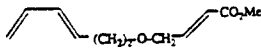
C<sub>4</sub>-C<sub>2</sub>-H(C) 0.0 md/Årad<sup>2</sup>  
C<sub>2</sub>-C<sub>4</sub>-H(C) 0.0 md/Årad<sup>2</sup>



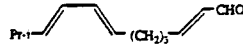
t/c = 64:36 (60:40)



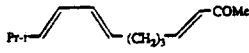
t/c = 60:40 (51:49)



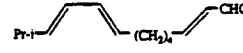
t/c = 62:38 (60:40)



t/c = 82:18 (89:11)



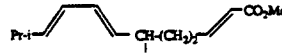
t/c = 77:23 (85:15)



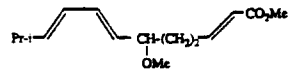
t/c = 77:23 (73:25)



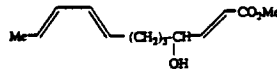
t/c = 73:27 (67:33)



t/c = 72:28 (70:30)



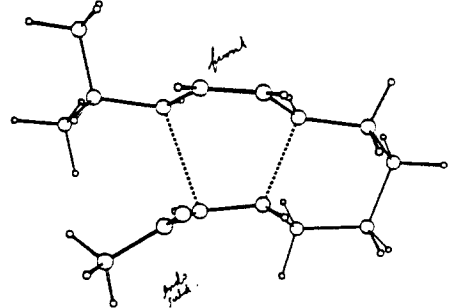
t/c = 75:25 (83:17; OBn)



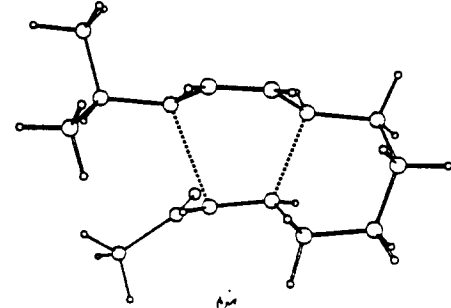
t/c = 60:40 (53:47)

(413 - 443 K)

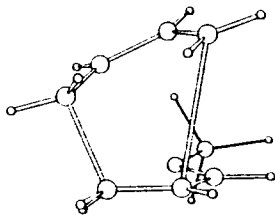
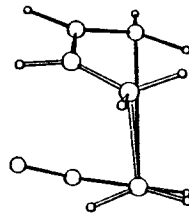
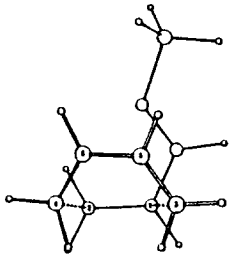
103



Amu  
mu  
Amu 85:15



Amu



C<sub>1</sub>-C<sub>3</sub>  
C<sub>2</sub>-C<sub>4</sub>  
C.S. term  
k<sub>stretching bending</sub>  
C=O  
C<sub>1</sub>-C<sub>6</sub>

2.96 Å; k = 0.3 md/Å  
1.93 Å; k = 1.7 md/Å  
-1.0  
0.0 md/rad  
μ = 4.0 D  
μ = 1.5 D

Amu  
mu  
Amu  
(change)

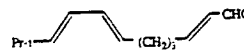
C<sub>4</sub>-C<sub>6</sub>-C<sub>5</sub>-C<sub>3</sub>  
H(C)-C<sub>6</sub>-C<sub>5</sub>-H(C)  
H(C)-C<sub>6</sub>-C<sub>4</sub>-C<sub>2</sub>  
H(C)-C<sub>5</sub>-C<sub>3</sub>-C<sub>1</sub>  
C<sub>4</sub>-C<sub>2</sub>-C<sub>1</sub>-H(C)  
C<sub>2</sub>-C<sub>1</sub>-C=O

V<sub>1</sub> = V<sub>3</sub> = 0.0; V<sub>2</sub> = 15.0 kcal/mol  
V<sub>1</sub> = V<sub>3</sub> = 0.0; V<sub>2</sub> = 15.0 kcal/mol  
V<sub>1</sub> = V<sub>3</sub> = 0.0; V<sub>2</sub> = -7.5 kcal/mol  
V<sub>1</sub> = V<sub>2</sub> = 0.0; V<sub>3</sub> = -7.5 kcal/mol  
V<sub>1</sub> = V<sub>3</sub> = 0.0; V<sub>2</sub> = -10.0 kcal/mol  
V<sub>1</sub> = V<sub>3</sub> = 0.0; V<sub>2</sub> = 2.0 kcal/mol

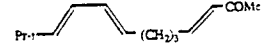
C<sub>4</sub>-C<sub>2</sub>-H(C)  
C...C...H(C)

0.0 md Å/rad<sup>2</sup>  
0.0 md Å/rad<sup>2</sup>

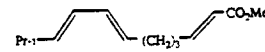
Catalyzed



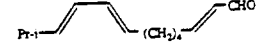
t/c = 99.6:0.4 (>99:1)



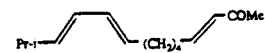
t/c = 99:1 (>99:1)



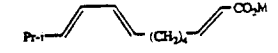
t/c = 93:7 (>99:1)



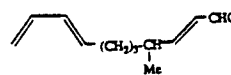
t/c = 99.6:0.4 (>99:1)



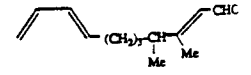
t/c = 99.6:0.4 (>99:1)



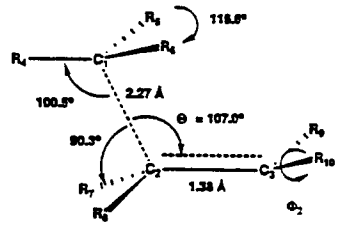
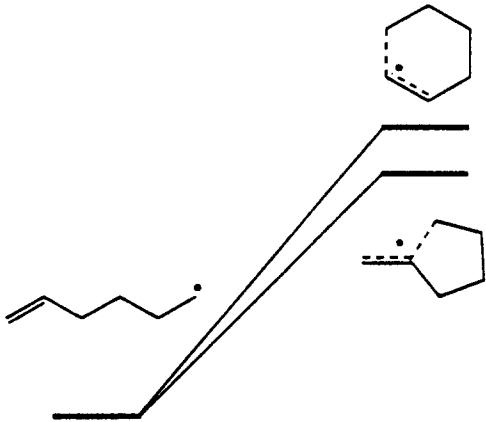
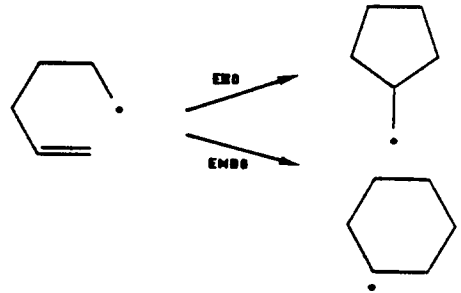
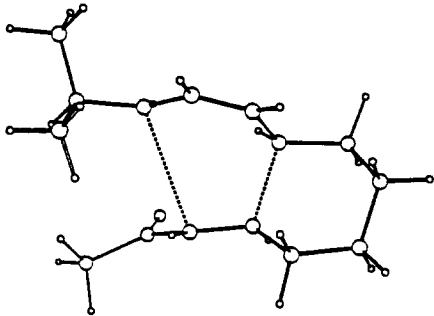
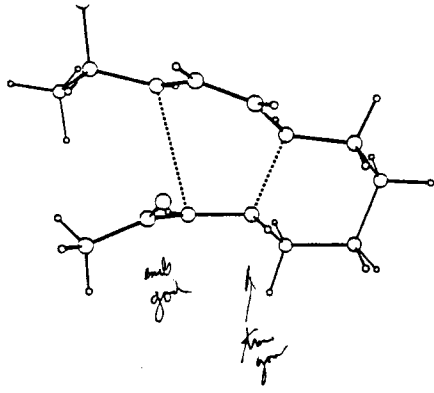
t/c = 92:8 (88:12)



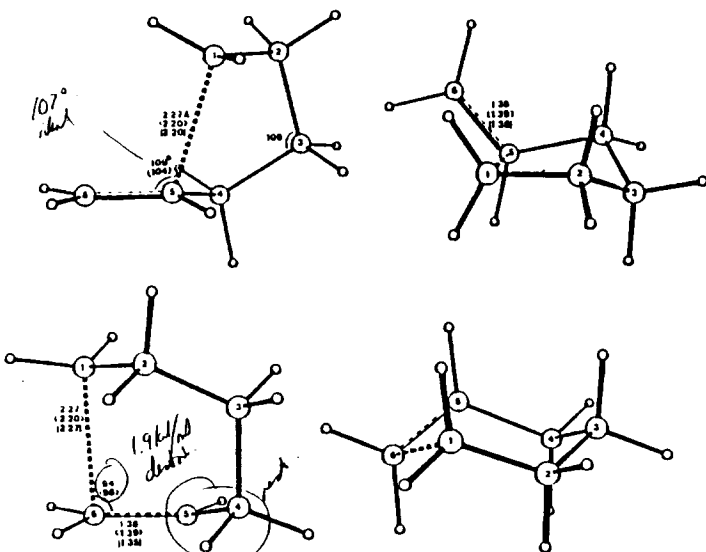
t/c = 98:2 (>95:5)



t/c = 99:1 (>95:5)



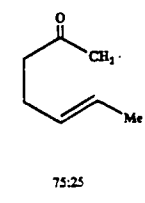
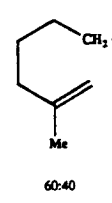
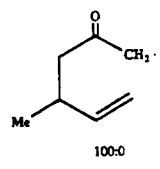
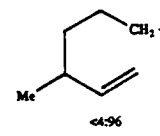
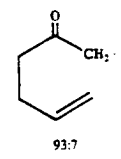
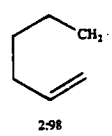
Spillmeyer - many calculations  
cf. Beckwith



Exo/Endo Ratios

	Calculated	Experimental
	95/5	98/2
	41/59	40/60
	99/1	>99/1
	74/26	68/32
	97/3	89/11
	23/77	0/100
	82/18	55/45

Endo(6) : Exo(5)

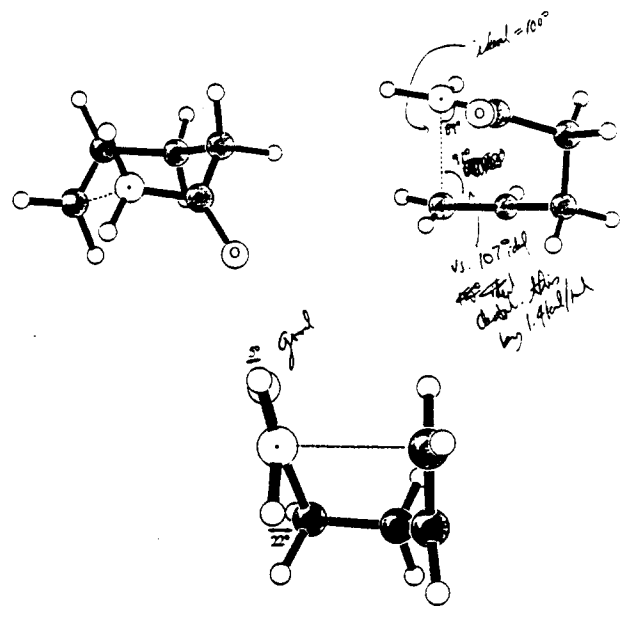


Rotational barrier  
= 10 kcal/mol  
(experimental)

*8-15  
vacuum*

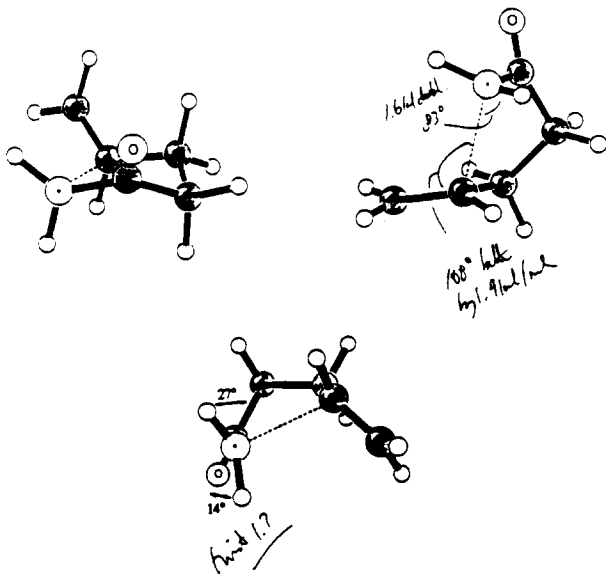
UHF/6-31G\*  
12 kcal/mol

*and ends - to - more nearly planar*

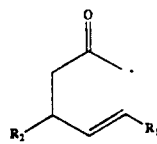


*6-endo Endo*

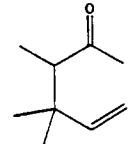
*2.4 kcal*



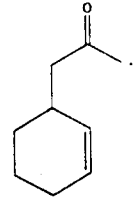
Calculated endo/exo ratio ( $\Delta E$ )  
Experimental endo/exo ratio ( $\Delta \Delta G^\ddagger$ )



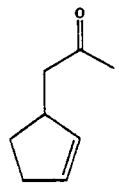
- 2:  $R_1 = R_2 = H$   
95:5 [2.0]  
97:3 [2.3]  
3:  $R_1 = Me, R_2 = H$   
68:32 [0.5]  
75:25 [0.7]  
4:  $R_1 = H, R_2 = Me$   
97:3 [2.4]  
only endo [ $>2.5$ ]



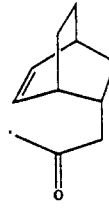
- 5  
99:1 [3.0]  
91:9 [1.6]



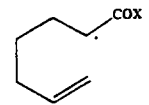
- 6  
0:100 [-4.2]  
only exo [ $<-2.5$ ]



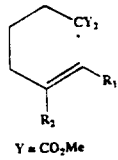
- 7  
1:99 [-3.0]  
only exo [ $<-2.5$ ]



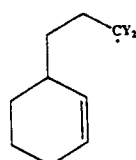
- 8  
0:100 [-3.6]  
only exo [ $<-2.5$ ]



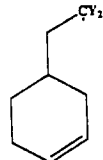
- 9: X = OMe  
12:88 [-1.4]  
7:93 [-1.8]  
10: X = OtBu  
9:91 [-1.6]  
8:92 [-1.7]  
11: X = tBu  
15:85 [-1.2]  
25:75 [-0.8]



- 12:  $R_1 = R_2 = H$   
8:92 [-1.6]  
10:90 [-1.5]  
13:  $R_1 = H, R_2 = Me$   
92:8 [17]  
only endo [ $>2.5$ ]  
14:  $R_1 = Me, R_2 = H$   
0:100 [-5.2]  
only exo [ $<-2.5$ ]



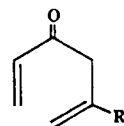
- 15  
0:100 [-6.5]  
only exo [ $<-2.5$ ]



- 16  
3:97 [-2.3]  
only exo [ $<-2.5$ ]

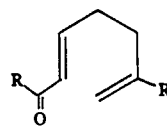
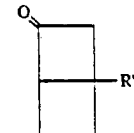
Crossed Closure

Straight Closure



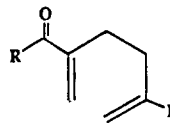
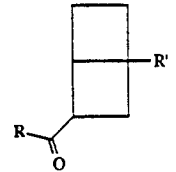
$h\nu$   
H : 99:1  
M : 65:35  
E : 10:90

formed, unless  $R'$  large, or  $\text{Cyclohexene}$ , then



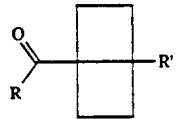
$h\nu$

same generalization

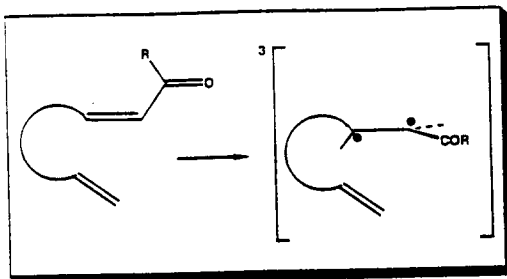
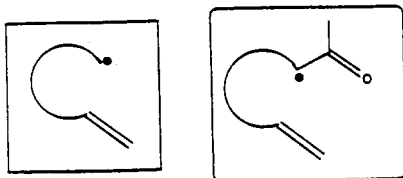


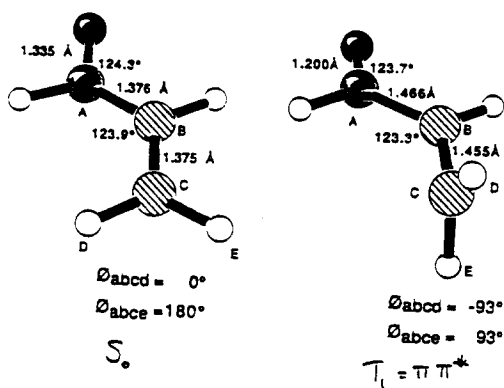
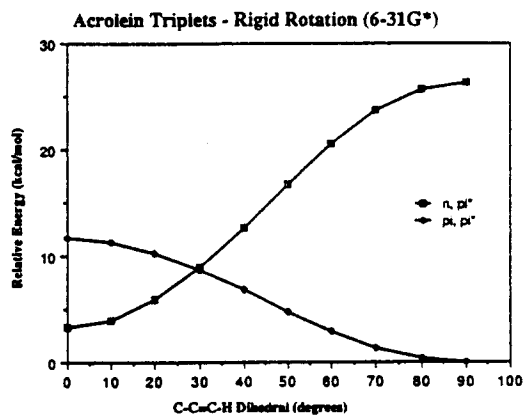
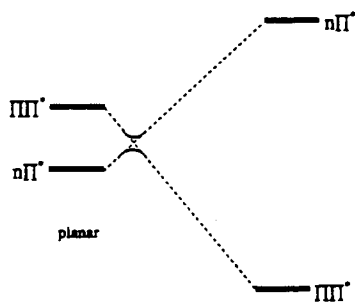
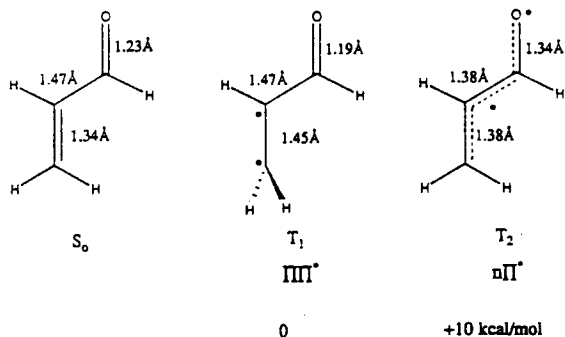
$h\nu$

only, same situation and  $R'$  large

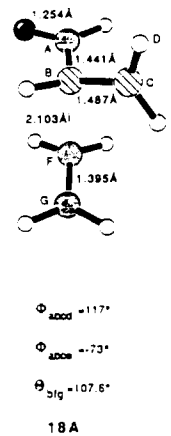
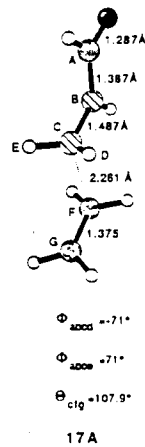
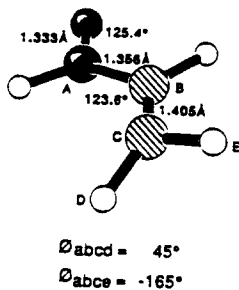


slow / 2,6 - bulky?

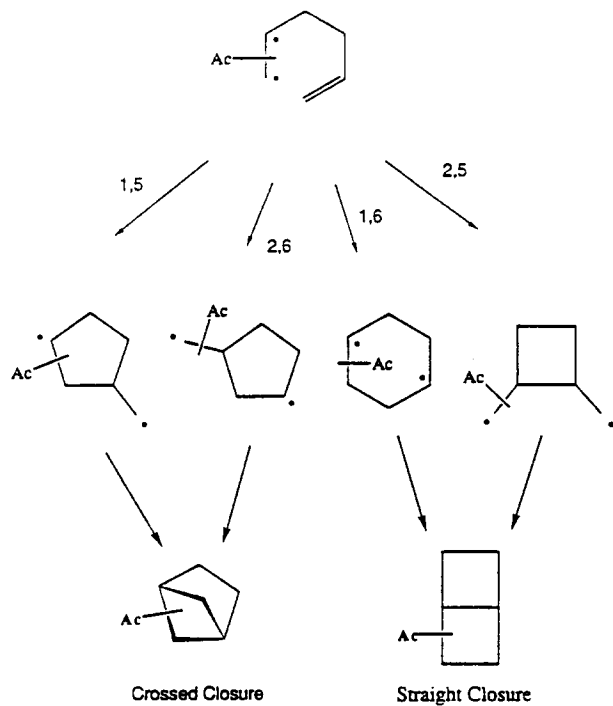




UMP4//  
UMP2/6-31G\*

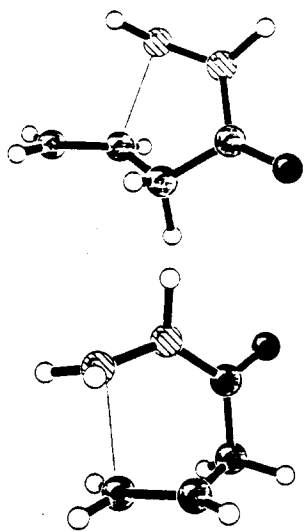


- - OXYGEN
- ⊙ - CARBON
- ⊖ - RADICAL



Relative Energy of Transition States for 1,5-Hexadien-3-one (kcal/mol)

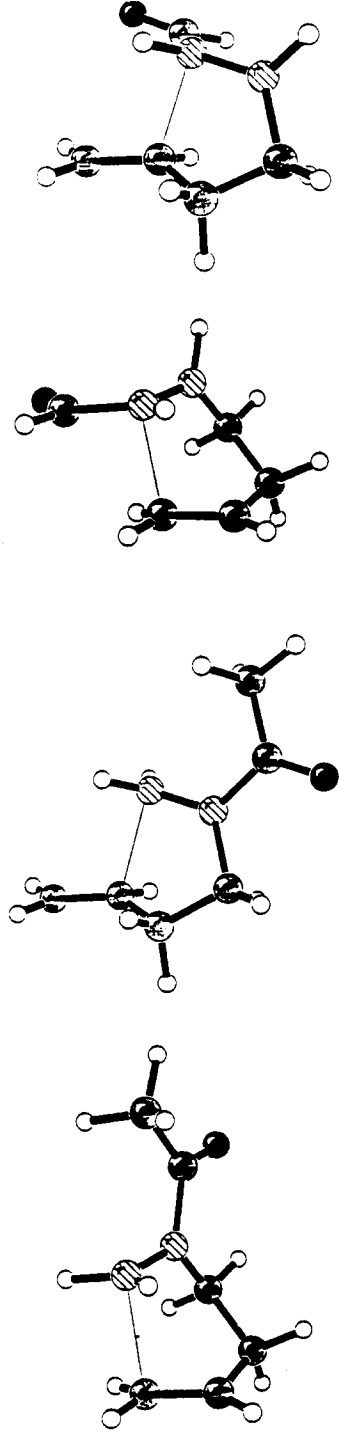
Twisted	0.0	3.6	24.3	31.1
Planar	26.0	27.3	40.3	44.0



Compound	Calc. Ratio for Crossed/Straight Closure	Exp. Ratio for Crossed/Straight Closure
	100:0	100:0
	76:24	61:39
	1:99	10:90
	100:0	100:0
	99:1	77:23
	95:5	93:7
	32:68	43:57
	2:98	0:100

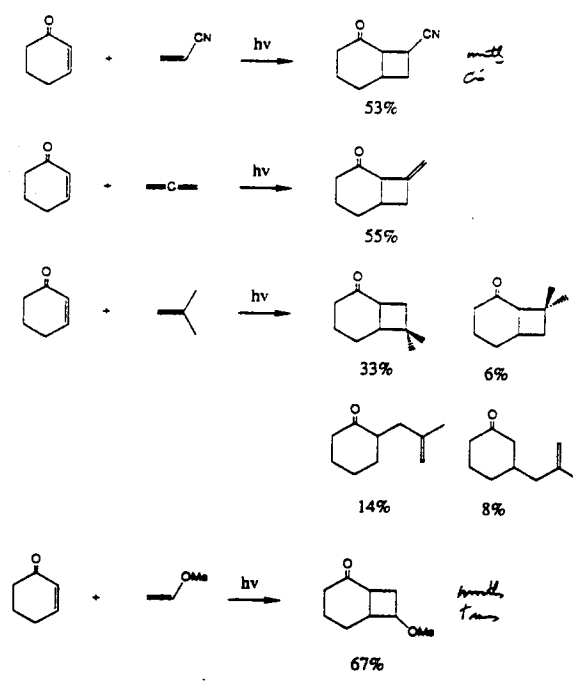
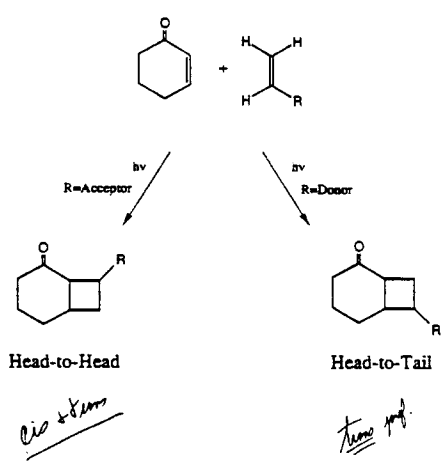
Compound	Calc. Ratio for Crossed/Straight Closure	Exp. Ratio for Crossed/Straight Closure
	100:0	100:0
	12:88	22:78
	0:100	10:90
	0:100	0:100
	98:2	74:26
	10:90	13:87

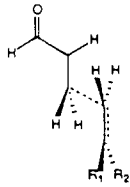




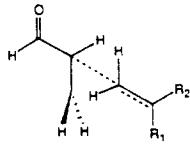
Compound	Calc. Ratio for Crossed/Straight Closure	Exp. Ratio for Crossed/Straight Closure
	100:0	100:0
	100:0	100:0
	100:0	100:0
	100:0	100:0
	94:6	100:0
	44:56	100:0

Regioselectivity of 2+2 Photocycloaddition





$\beta$



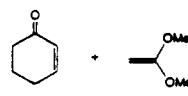
$\alpha$

$\Delta E(\beta-\alpha)$

(R1, R2)	PMP2/6-31G*	PMP3/6-31G*	Exp.
(H, CN)	1.8	-0.9	-0.7
(=CH2)	2.0	-0.6	<-2.0
(H, H)	2.2	-0.3	-
(H, Me)	3.7	0.3	0.1
(Me, Me)	4.0	0.5	0.5
(H, OMe)	5.8	1.7	>2.0

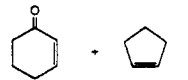
*found O.K.*

*not calibrated  
and by  
J. J. P. O. J.*

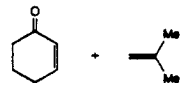


**Trans-Fused**    **Cis-Fused**

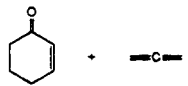
49%    21%



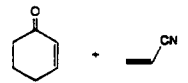
47%    19%



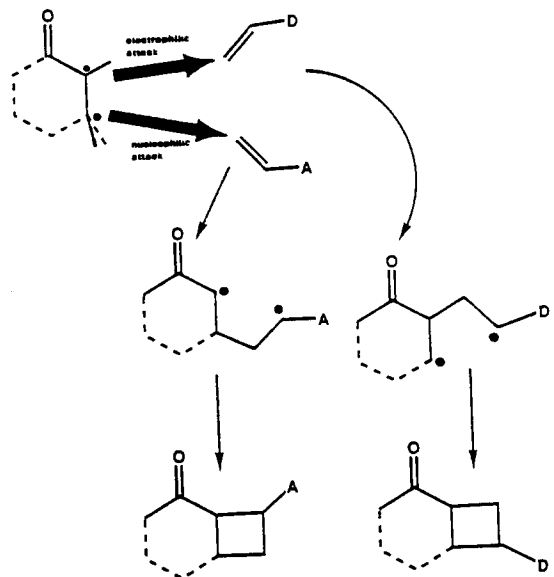
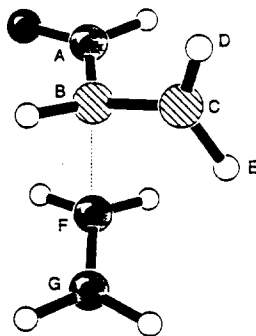
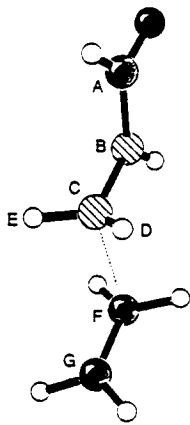
27%    7%



0%    55%



0%    53%



*Cis*

*Trans*